



新質證券有限公司

Modern Innovative Securities Limited
SFC Licensed Corporation (CE No. : BGH629)

Exchange Participant of the Stock Exchange of Hong Kong Ltd.
Broker No. 0916

香港聯合交易所參與者 經紀編號 0916

Update of Client's Information 帳戶資料更新表格

Client Name: 客戶名稱:	Account No.: 戶口號碼:
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(Only use the form if you have information to update and please ✓ for the appropriate option.)

(如有更新資料，須填寫以下資料並於所選適當位置加上 ✓ 號。)

Part 1: New Basic Information 第一部分: 新基本資料

Change Address 更改地址 * Please provide a valid address proof issued within the past 3 months 請提供最近 3 個月內發出的有效地址證明

Residential 住宅

Office 公司

Change the Correspondence Address as (if different from the above) 更改通訊地址為 (如與上述不同)

Change of Telephone no. / Email address 更改電話號碼 / 電郵地址

Home Telephone: 住宅電話:	Office Telephone: 公司電話:
Mobile phone: 流動電話:	Email address: 電郵地址:

Change of bank account details 更改銀行戶口資料:

Bank name 銀行名稱:	Bank account no.: 銀行帳戶號碼:
Others: 其他:	

Part 2: Reset Internet Trading Password 第二部分: 重設網上交易密碼

Reset Internet Trading Password 重設網上交易密碼

PS: The password generated from system will send to the registered e-mail of client only.

註: 本公司只會將密碼發至客戶登記郵箱

Part 3: Employment Status 第三部分: 工作狀況

Employment Status 工作狀況

Name of Employer: 僱主名稱:	Position: 職位:	
Office Address 公司地址:		
New occupation: 職位:	Nature of Business: 業務性質:	Year of Service: 服務年資:

Part 4: New Identity Declaration 第四部分: 新身份聲明

New Identity Declaration 新身份聲明

New Client Name: 新客戶名稱:	
New Nationality: 新國籍:	Jurisdiction of Tax Residence: 稅務居留司法管轄區:
TIN (if applicable): 稅務編號 (若適用):	



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Part 5: New Financial Status 第五部分: 新財務狀況

Financial information 財務資料

Estimated Net Worth 淨資產值:	<input type="checkbox"/> <HK\$1M	<input type="checkbox"/> HK\$1-2M	<input type="checkbox"/> HK\$2-5M	<input type="checkbox"/> HK\$5-10M	<input type="checkbox"/> >HK\$10M
Liquid Assets 流動資產:	<input type="checkbox"/> <HK\$50K	<input type="checkbox"/> HK\$50-200K	<input type="checkbox"/> HK\$200-500K	<input type="checkbox"/> HK\$500K-1M	<input type="checkbox"/> >HK\$1M
Annual Income 每年收入:	<input type="checkbox"/> <HK\$100K	<input type="checkbox"/> HK\$100-300K	<input type="checkbox"/> HK\$300-500K	<input type="checkbox"/> HK\$500K-1M	<input type="checkbox"/> >HK\$1M
Sources of fund and wealth 資金及財富來源*: *You can choose more than 1 option* (可選擇多於一項)	<input type="checkbox"/> Income from Earnings 工作收入	<input type="checkbox"/> Investment Proceeds 投資收益	<input type="checkbox"/> Gift 餽贈	<input type="checkbox"/> Legal Settlement 訴訟收益	<input type="checkbox"/> Inheritance 遺產繼承
	<input type="checkbox"/> Sale of business 商業買賣收益	<input type="checkbox"/> Pension/retirement Savings 退休金	<input type="checkbox"/> Spouse/parent 配偶 / 父母		

Part 6: Client Declaration 第六部分: 客戶聲明

Change Client Declaration 更新客戶聲明

(a) Are you, either alone or with your spouse, in control of 35% or more of the voting rights of any corporate client of MODERN INNOVATIVE? 客戶是否個人或與客戶的配偶共同控制任何新質的公司客戶 35% 或以上的投票權?
 Yes 是 (please specify 請註明) _____ No 不是

(b) Is your spouse, parent and/or children a client of MODERN INNOVATIVE? 客戶的配偶, 父母, 及/或子女 是否 新質的客戶?
 Yes, whose name is 是 · 其姓名為 _____ No 不是

(c) Are you, your spouse, parent and/or children, a director and/or shareholder of a corporate client of MODERN INNOVATIVE? 客戶自己, 其配偶, 父母, 及/或子女是否 新質的公司帳戶的董事及/或股東?
 Yes, please specify 是 · 詳情為 _____ No 不是

(d) Are you related to any MODERN INNOVATIVE employee? 客戶是否與新質任何僱員有親屬關係?
 Yes, such employee's name is 是 · 僱員姓名為 _____ No 不是

(e) Are you an employee of a entity licensed or registered with the Hong Kong Securities and Futures Commission? 客戶是否香港證監會持牌人或註冊人的僱員?
 Yes, details are 是 · 詳情為 : CE No. _____ No 不是

(f) Are you the Ultimate Beneficial Owner(s) of this account? 客戶是否此帳戶之最終受益人 ?
 Yes 是
 No, please provide UBO's name & ID/passport no. 不是 · 請提供最終受益人的姓名及身份 證或護照號碼: _____

Part 7: Others 第七部分: 其他

Change Other Information 更改其他信息

Please provide additional information and relevant support documents 請提供更多信息和相關支持文件

Please effect my/our instructions as stated above from 請於此日期處理上述本人/吾等之更改指示:

(DD/MM/YYYY)

X _____
客戶簽署 Client's Signature(s)

日期 Date

(如屬公司戶口, 請加蓋公司印鑑。 For corporate account, please affix with company chop.)

For Internal Use Only 只供內部填寫				
Signature Verification	INPUT BY	CHECKED BY	Approved By	Remarks